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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/644,762	
	Filing Date	August 21, 2003	
	First Named Inventor	Tong, et al.	
	Art Unit	2874	
	Examiner Name	Rahll, Jerry T.	
Total Number of Pages in This Submission	8	Attorney Docket Number	4255510008

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form (duplicate)  <input type="checkbox"/> Fee Attached  <input checked="" type="checkbox"/> Amendment / Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Replacement Drawing(s) (4 sheets) (Figures 1A-1B, 2, and 3)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input type="checkbox"/> Other Enclosure(s) (please identify below):
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Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Siddhesh V. Pandit, Reg. No. 58,572 Bingham McCutchen LLP
Signature	
Date	January 8, 2007

### CERTIFICATE OF MAILING

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**UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Franklin F.K. TONG, et al.

Serial No. : 10/644,762

Group Art Unit: 2874

Filed : August 21, 2003

Examiner: Jerry T. Rahl

For : SUBSTRATE ASSEMBLY FOR OPTICAL COUPLING  
OF LASERS AND RECEIVERS

**AMENDMENT AFTER FINAL**

BOX AF  
COMMISSIONER FOR PATENTS  
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Sir:

The applicant has carefully considered the Final Office Action mailed on October 6, 2006, including allowed claims 1, 2 and 4-8, and the remaining rejections of claim 9 -17. In response, the applicant has amended independent claims 9 and 16 to incorporate the limitations of allowed claim 1. Applicant believes that the amendments presented herein place the remaining claims in condition for allowance. Accordingly, applicant respectfully requests entry of the amendments and reconsideration of the amended claims.